



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(MBHB Case No. 03-748)

In application of:

Fred Monroe and Harris C. Brumfield

Serial No.: 10/628,631

Filed: July 28, 2003

For: System and Method for Improved
Electronic Trading

Examiner: Alain I. Bashore

Group Art Unit: 3624

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Dear Sir:

In regard to the above identified application:

1. We are transmitting herewith the attached papers for the above identified patent application:
 - a. Transmittal Letter in duplicate;
 - b. Information Disclosure Statement (IDS) PTO-1449 Form;
 - c. Copies of IDS Citations (2 U.S. Published Patent Applications and 1 International Search Report); and
 - d. Return Receipt Postcard.
2. With respect to fees:
 - a. No fee is due at this time.
 - b. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 10, 2005.

Respectfully,

Date: May 10, 2005

By:

Brian R. Harris
Reg. No. 45,900

Form PTO-1449

U.S. Department of Commerce
Patent and Trademark Office**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Atty. Docket No.

03-748

Serial No.

10/628,631

Applicant: Monroe, et al.

Filing Date: July 28, 2003

Group: 3624

U.S. PATENT APPLICATION PUBLICATION DOCUMENTS

Examiner Initial	No.	Document Number	Date	Name	Class	Subclass	Filing Date
	1.	US 2002/0091617 A1	07/11/02	Keith	705	37	03/08/01
	2.	US 2002/0188549 A1	12/12/02	Nordlicht	705	37	06/11/01

U.S. PATENT DOCUMENTS

Examiner Initial	No.	Document Number	Date	Name	Class	Subclass	Filing Date

FOREIGN PATENT DOCUMENTS

Examiner Initial	No.	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

OTHER DOCUMENTS - Including Author, Title, Date, Pertinent Pages, Etc.

Examiner Initial	No.	
	3.	International Search Report for PCT/US04/25543 mailed April 27, 2005

Examiner	Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with any communication.